

# Determination of block invariants

Morita equivalence problems for blocks of finite groups  
CIB Lausanne

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## 1 Local and global invariants

Let  $G$  be a finite group, and let  $p$  be a prime. We consider a  $p$ -modular system  $(K, \mathcal{O}, F)$  with the following properties:

- $\mathcal{O}$  is a complete discrete valuation ring with valuation  $\nu$  and field of fractions  $K$ ,
- $K$  has characteristic 0 and contains a primitive  $|G|$ -th root of unity,
- $F = \mathcal{O}/J(\mathcal{O})$  is an algebraically closed field of characteristic  $p$ .

The group algebra  $\mathcal{O}G$  decomposes into a direct sum of indecomposable (twosided) ideals

$$\mathcal{O}G = B_1 \oplus \dots \oplus B_n.$$

The summands  $B_i$  are called the  $(p)$ -blocks of  $\mathcal{O}G$ . The natural homomorphism  $\mathcal{O} \rightarrow F$ ,  $\alpha \mapsto \alpha + J(\mathcal{O})$  induces a bijection between the blocks of  $\mathcal{O}G$  and the blocks of  $FG$ . In the following we assume that  $B$  is a block of  $RG$  where  $R \in \{\mathcal{O}, F\}$  (whatever is appropriate). Then  $B$  is a subalgebra of  $RG$  and the unity element  $1_B$  is a primitive idempotent of the center  $Z(RG)$ .

**Definition 1.1** (Global numerical invariants).

- (i) Let  $\text{Irr}(B)$  be the set of irreducible characters of  $G$  over  $K$  belonging to  $B$ . We set  $k(B) := |\text{Irr}(B)|$ . Then the *defect*  $d(B) \geq 0$  of  $B$  is defined by

$$p^{d(B)} \min\{\chi(1)_p : \chi \in \text{Irr}(B)\} = |G|_p.$$

The *height*  $h(\chi)$  of  $\chi \in \text{Irr}(B)$  is determined via

$$p^{d(B)-h(\chi)}\chi(1)_p = |G|_p.$$

We set  $\text{Irr}_i(B) := \{\chi \in \text{Irr}(B) : h(\chi) = i\}$  and  $k_i(B) := |\text{Irr}_i(B)|$  for  $i \geq 0$ .

- (ii) The sets  $\text{Irr}_i(B)$  can be partitioned further into families of  $p$ -conjugate characters. These are the orbits of the Galois group  $\mathcal{G}$  of the cyclotomic field extension  $\mathbb{Q}_{|G|} \supseteq \mathbb{Q}_{|G|_p}$ . The  $p$ -rational characters are the fixed points under this action. Note that  $\mathcal{G} \cong (\mathbb{Z}/|G|_p\mathbb{Z})^\times$ .

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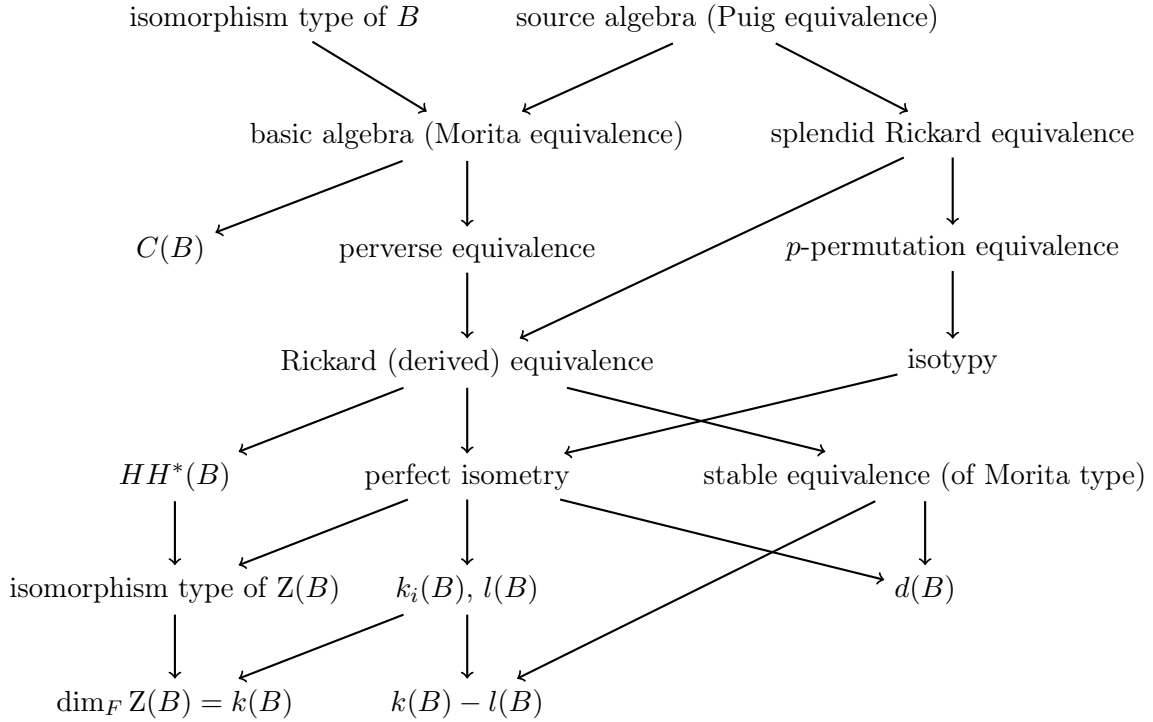
- (iii) Similarly, one may count the *real* characters in  $\text{Irr}(B)$  and determine their *Frobenius-Schur-Indicators*.
- (iv) The  $F$ -representations of  $G$  determine *Brauer characters*. The irreducible Brauer characters  $\text{IBr}(G)$  of  $G$  can be distributed into the blocks. Accordingly we define  $l(B) := |\text{IBr}(B)|$ . This is also the number of simple  $B$ -modules.
- (v) There exist non-negative integers  $d_{\chi\varphi}$  such that

$$\chi(g) = \sum_{\varphi \in \text{IBr}(B)} d_{\chi\varphi} \varphi(g)$$

for every  $\chi \in \text{Irr}(B)$  and  $g \in G_{p'}$ . Let  $Q := (d_{\chi\varphi}) \in \mathbb{Z}^{k(B) \times l(B)}$  be the *decomposition matrix* of  $B$ . Then  $C(B) := Q^T Q$  is the *Cartan matrix* of  $B$ .

- (vi) The *Loewy length*  $LL(B)$  of  $B$  is the smallest positive integer  $l$  such that  $J(B)^l = 0$ . Also  $LL(Z(B))$  is of interest.

Global structural invariants:



**Definition 1.2** (Local data).

- (i) Recall that a *defect group*  $D = D(B)$  of  $B$  is a  $p$ -subgroup of  $G$  which is unique up to conjugation. Moreover,  $|D| = p^{d(B)}$ . Let  $b_D$  be a *Brauer correspondent* of  $B$  in  $C_G(D)$ . Then

$$I(B) := N_G(D, b_D) / DC_G(D)$$

is the *inertial quotient* of  $B$ . A result by Külshammer shows that  $B_D := b_D^{N_G(D)}$  is Morita equivalent to a twisted group algebra of the form  $R_\alpha[D \rtimes I(B)]$ . The 2-cocycle  $\alpha$  is called the *Külshammer-Puig class* of  $B_D$ .

- (ii) The *fusion system*  $\mathcal{F} = \mathcal{F}(B)$  of  $B$  is a category with

- objects: subgroups of  $D$ ,
- morphisms: certain conjugation maps induced by elements in  $G$ .

We have  $I(B) \cong \text{Out}_{\mathcal{F}}(D)$  and this is  $p'$ -group.

It is conjectured that every fusion system of a block is represented by a finite group  $H$  with  $D \in \text{Syl}_p(H)$ .

**Philosophy:** Local data determine global invariants

**Conjectures:**

- $k_0(B) = k_0(B_D)$  (Alperin-Mckay)
- $k(B) = k_0(B)$  if and only if  $D$  abelian (Brauer)
- $\inf\{i \geq 1 : k_i(B) > 0\} = \inf\{i \geq 1 : k_i(D) > 0\}$  (Eaton-Moretó)
- $l(B)$  is determined by  $\mathcal{F}$  and Külshammer-Puig classes of certain Brauer correspondents (Alperin)
- $k_i(B)$  is determined in a similar fashion (Dade, Robinson)
- If  $D$  is abelian, then  $B$  is (splendid) Rickard equivalent to  $B_D$  (Broué)
- There are only finitely many Morita (Puig) equivalence classes of  $p$ -blocks with a given defect (Donovan, Puig)
- $\vdots$

**Results:**

**Theorem 1.3.** *If  $G$  is  $p$ -solvable, then the source algebra of  $B$  can be described locally.*

**Theorem 1.4.**

- (i)  $B$  is a simple algebra if and only if  $D = 1$ . In this case  $B \cong R^{n \times n}$  where  $n$  is the degree of the unique irreducible character of  $B$ .
- (ii)  $B$  has finite representation type if and only if  $D$  is cyclic. In this case the source algebra of  $B$  is determined by an endo-permutation module for  $D$  and the planar embedding of a Brauer tree.
- (iii)  $B$  has tame representation type if and only if  $p = 2$  and  $D$  is dihedral, semidihedral or (generalized) quaternion. Here, the Morita equivalence classes are determined by Auslander-Reiten quivers (up to scalars).
- (iv) In all other cases  $B$  has wild representation type.

**Aim:** Say more in the wild case!

## 2 Methods

**Given:**  $D$

**Wanted:** Global invariants of  $B$

## 2.1 Fusion systems

**Theorem 2.1** (Alperin’s Fusion Theorem). *The morphisms of  $\mathcal{F}$  are compositions of restrictions from  $\text{Aut}(S)$  where  $S = D$  or  $S$  is essential, i. e.  $\text{Out}_{\mathcal{F}}(S)$  contains a strongly  $p$ -embedded subgroup.*

Groups with strongly embedded  $p$ -subgroups are classified. Moreover, the automorphism group of a  $p$ -group is “almost always” a  $p$ -group. In this way one can classify all (saturated) fusion systems on  $D$ .

**Corollary 2.2.** *“Most” blocks are nilpotent, i. e. the morphisms of  $\mathcal{F}$  are restrictions from  $\text{Inn}(D)$ .*

**Theorem 2.3** (Puig). *The block  $B$  is nilpotent if and only if  $B \cong (\mathcal{O}D)^{n \times n}$  for some  $n \geq 1$ .*

**Example 2.4.**

- (i) If  $G$  has a normal  $p$ -complement, then  $B$  is nilpotent. The converse holds for the principal block.
- (ii) If  $D$  is abelian and  $I(B) = 1$ , then  $B$  is nilpotent.
- (iii) If  $D$  is a cyclic 2-group, then  $B$  is nilpotent.

If  $p > 2$  and  $\mathcal{F}$  is not nilpotent, then there exists a finite group  $H$  with  $D \in \text{Syl}_p(H)$  and without normal  $p$ -complement (not necessarily with the same fusion system).

## 2.2 Subsections

A  $(B)$ -subsection is a pair  $(u, b)$  where  $u \in G_p$  and  $b$  is a Brauer correspondent of  $B$  in  $C_G(u)$ .

**Proposition 2.5.** *Choose a set  $\mathcal{R}$  of representatives for the  $\mathcal{F}$ -orbits of  $D$  such that  $|C_D(u)|$  is as large as possible for every  $u \in \mathcal{R}$ . Then there exist blocks  $b_u$  ( $u \in \mathcal{R}$ ) with the following properties:*

- (i) every subsection is  $G$ -conjugate to exactly one  $(u, b_u)$  with  $u \in \mathcal{R}$ ,
- (ii)  $D(b_u) = C_D(u)$  and  $\mathcal{F}(b_u) = C_{\mathcal{F}}(u)$ , in particular  $I(b_u) \cong C_{\text{Out}_{\mathcal{F}}(C_D(u))}(u)$ ,
- (iii)  $b_u$  dominates a unique block  $\bar{b}_u$  of  $C_G(u)/\langle u \rangle$  with  $D(\bar{b}_u) = C_D(u)/\langle u \rangle$  and  $\mathcal{F}(\bar{b}_u) = C_{\mathcal{F}}(u)/\langle u \rangle$ , in particular  $I(\bar{b}_u) \cong I(b_u)$ ,
- (iv)  $C(b_u) = |\langle u \rangle|C(\bar{b}_u)$ , in particular  $l(b_u) = l(\bar{b}_u)$ ,
- (v)

$$k(B) = \sum_{u \in \mathcal{R}} l(b_u) = \sum_{u \in \mathcal{R}} l(\bar{b}_u).$$

**Corollary 2.6.** *The difference  $k(B) - l(B)$  is determined locally.*

## 2.3 Generalized decomposition numbers

**Proposition 2.7.** *Let  $u \in G_p$  and let  $\chi \in \text{Irr}(B)$ . Then there are uniquely determined algebraic integers  $d_{\chi\varphi}^u$  in the cyclotomic field  $\mathbb{Q}_{|\langle u \rangle|}$  such that*

$$\chi(uv) = \sum_{\varphi \in \text{IBr}(C_G(u))} d_{\chi\varphi}^u \varphi(v) \quad \forall v \in C_G(u)_{p'}.$$

The numbers  $d_{\chi\varphi}^u$  are called generalized decomposition numbers.

**Theorem 2.8** (Brauer's Second Main Theorem). *Let  $\chi \in \text{Irr}(B)$ ,  $u \in G_p$  and  $\varphi \in \text{IBr}(b)$  for some block  $b$  of  $C_G(u)$ . Then  $d_{\chi\varphi}^u = 0$  unless  $b^G = B$ .*

For  $u \in \mathcal{R}$  we write

$$Q_u := (d_{\chi\varphi}^u)_{\chi \in \text{Irr}(B), \varphi \in \text{IBr}(b_u)} \in \mathcal{O}^{k(B) \times l(b_u)}.$$

Note that  $Q_1 = Q$ . By choosing an integral basis of  $\mathbb{Q}_{|\langle u \rangle|}$ , we may replace  $Q_u$  by its integral coefficient matrix.

**Theorem 2.9** (Orthogonality relations). *For  $u, v \in \mathcal{R}$  we have*

$$\boxed{Q_u^T \overline{Q_v} = \delta_{uv} C(b_u)}.$$

For  $u \in \mathcal{R}$  let

$$M_u := (m_{\chi\psi}^u)_{\chi, \psi \in \text{Irr}(B)} = \overline{Q_u} C(b_u)^{-1} Q_u^T = \overline{Q_u} (Q_u^T \overline{Q_u})^{-1} Q_u^T \in \mathbb{C}^{k(B) \times k(B)}$$

be the *contribution matrix* of  $B$  with respect to  $(u, b_u)$ .

**Proposition 2.10** (Divisibility relations). *For  $u \in \mathcal{R}$  the following holds:*

(i)  $\nu(p^{d(b_u)} m_{\chi\psi}^u) \geq 0$ . Equality holds if and only if  $h(\chi) = h(\psi) = 0$ . In particular, for every  $\chi \in \text{Irr}_0(B)$  there exists a  $\varphi \in \text{IBr}(b_u)$  such that  $d_{\chi\varphi}^u \neq 0$ .

(ii)  $\nu(p^{d(B)} m_{\chi\psi}^u) \geq h(\chi)$ . Equality holds if and only if  $u \in \mathbb{Z}(D)$  and  $h(\psi) = 0$ . In particular, for every  $u \in \mathbb{Z}(D)$  and  $\chi \in \text{Irr}(B)$  there exists a  $\varphi \in \text{IBr}(b_u)$  such that  $d_{\chi\varphi}^u \neq 0$ .

**Corollary 2.11.** *The numbers  $k_i(B)$  can be read off from  $Q_u$  whenever  $u \in \mathbb{Z}(D)$ .*

*Proof.* Pick a  $\psi \in \text{Irr}(B)$  with  $p^{d(B)} m_{\psi\psi}^u \in \mathcal{O}^\times$ . Then  $h(\psi) = 0$  and  $h(\chi) = \nu(p^{d(B)} m_{\chi\psi}^u)$  for every  $\chi \in \text{Irr}(B)$  by Proposition 2.10.  $\square$

**Proposition 2.12** (Surjectivity of decomposition). *Let  $\tilde{Q}$  be a matrix whose columns form a basis of the  $\mathbb{Z}$ -module*

$$\{v \in \mathbb{Z}^{k(B)} : Q_u^T v = 0 \ \forall u \in \mathcal{R} \setminus \{1\}\}.$$

*Then there exists  $S \in \text{GL}(l(B), \mathbb{Z})$  such that  $Q = \tilde{Q}S$ .*

**Remark 2.13.** Arguing by induction on  $|D|$ , we may assume that  $C(\overline{b_u})$  and therefore  $C(b_u)$  is known for  $1 \neq u \in \mathcal{R}$ . By the ‘‘integrity’’ of  $Q_u$  (and the Brauer-Feit bound), there are only finitely many solutions of the matrix equation  $Q_u^T \overline{Q_u} = C(b_u)$ . The solutions can be determined with an algorithm by Plesken (implemented as `OrthogonalEmbeddings` in GAP). Now Proposition 2.12 implies that  $Q$  can be computed up to *basic sets* from the  $Q_u$  ( $u \neq 1$ ). Here, a basic set is a basis for  $\mathbb{Z} \text{IBr}(B)$ . Note that  $C(B) = Q^T Q = S^T \tilde{Q}^T \tilde{Q} S$ . In particular, the elementary divisors and the determinant of  $C(B)$  are encoded in  $\tilde{Q}$ . This can be stated more explicitly in terms of *lower defect groups*. We will see later that not all elements  $u \in \mathcal{R} \setminus \{1\}$  in Proposition 2.12 are needed. Observe also that the contribution matrices  $M_u$  do not depend on the basic sets of  $b_u$  (but on the order of  $\text{Irr}(B)$ ).

## 2.4 Galois actions

Since the matrix factorization  $X^T \overline{X} = C(b_u)$  has usually many solutions  $X$ , it is of interest to investigate relations between the  $Q_u$ 's.

The *generalized decomposition matrix* of  $B$  is defined by

$$Q_* := (d_{\chi\varphi}^u : \chi \in \text{Irr}(B), u \in \mathcal{R}, \varphi \in \text{IBr}(b_u)) = (Q_u : u \in \mathcal{R}) \in \mathcal{O}^{k(B) \times k(B)}.$$

**Example 2.14.** If  $G = D$ , then  $Q_*$  is just the character table of  $G$ .

**Proposition 2.15.** *The Galois group  $\mathcal{G}$  introduced in Definition 1.1(ii) acts on the rows and on the columns of  $Q_*$  such that*

$$\boxed{\gamma(d_{\chi\varphi}^u) = d_{\chi\varphi}^{u^\gamma} = d_{\chi^\gamma, \varphi}^u}$$

for  $\gamma \in \mathcal{G}$ . The number of orbits on both sets is the same. If  $p > 2$ , then the number of  $p$ -rational characters in  $\text{Irr}(B)$  coincides with the number of integral columns of  $Q_*$ .

*Sketch of proof.* The equation is a direct consequence of Proposition 2.7. By Brauer's Permutation Lemma, every  $\gamma \in \mathcal{G}$  has the same number of fixed points on the rows as on the columns of  $Q_*$ . Hence, Burnside's Lemma implies that the number of orbits coincides. Finally, if  $p > 2$ , then  $\mathcal{G}$  is cyclic and the last claim follows.  $\square$

If  $u$  and  $u^\gamma$  ( $\gamma \in \mathcal{G}$ ) lie in the same  $\mathcal{F}$ -orbit, then there exists a  $g \in N_G(\langle u \rangle, b_u)$  such that  $d_{\chi\varphi}^{u^\gamma} = d_{\chi\varphi}^{u^g} = d_{\chi, \varphi^g}^u$ . Thus,  $\gamma$  permutes the columns of  $Q_u$  in this case. Here, at least one column is fixed if  $p = 2$ . Also note that for the computation of  $Q$  in Proposition 2.12 we only need  $Q_u$  with  $u \in \mathcal{R}'$  where  $\mathcal{R}'$  is a set of representatives of  $\mathcal{R} \setminus \{1\}$  under  $\mathcal{G}$ .

## 2.5 Broué-Puig's \*-construction

Let  $\mathbb{Z} \text{Irr}(D)^{\mathcal{F}}$  be the  $\mathbb{Z}$ -module of  $\mathcal{F}$ -stable generalized characters of  $D$ . Then

$$\text{rk}(\mathbb{Z} \text{Irr}(D)^{\mathcal{F}}) = |D/\mathcal{F}| = |\mathcal{R}|.$$

For  $\chi \in \text{Irr}(B)$  and  $\lambda \in \mathbb{Z} \text{Irr}(D)^{\mathcal{F}}$  there exists a character  $\lambda * \chi \in \mathbb{Z} \text{Irr}(B)$ . It follows that

$$\sum_{u \in \mathcal{R}} \lambda(u) M_u = ((\lambda * \chi, \psi)_G)_{\chi, \psi \in \text{Irr}(B)} \in \mathbb{Z}^{k(B) \times k(B)}.$$

If  $\lambda = 1$ , this simplifies to

$$\sum_{u \in \mathcal{R}} M_u = 1$$

(which is also a consequence of Theorem 2.9). If  $\lambda$  is the regular character of  $D$  and  $\chi \in \text{Irr}_0(B)$ , then every  $\psi \in \text{Irr}(B)$  is a constituent of  $\lambda * \chi$  with multiplicity  $p^{d(B)} m_{\chi\psi}^1 \neq 0$ .

The *hyperfocal subgroup* of  $B$  is defined by

$$\mathfrak{hfp}(B) := \langle x^{-1} x^f : x \in S \leq D, f \in \text{Op}(\text{Aut}_{\mathcal{F}}(S)) \rangle.$$

Moreover,  $\mathfrak{foc}(B) := D' \mathfrak{hfp}(B)$  is the *focal subgroup* of  $B$ . If  $\mathcal{F}$  is realized by a finite group  $H$ , then we may use the focal subgroup theorem  $\mathfrak{foc}(B) = D \cap H'$ . Observe that

$$\overline{D} := D/\mathfrak{foc}(B) \cong \text{Irr}(D/\mathfrak{foc}(B)) \subseteq \text{Irr}(D)^{\mathcal{F}}.$$

**Proposition 2.16.** *If  $\chi \in \text{Irr}_i(B)$  and  $\lambda \in \text{Irr}(\overline{D})$ , then  $\lambda * \chi \in \text{Irr}_i(B)$  with*

$$\boxed{d_{\lambda * \chi, \varphi}^u = \lambda(u) d_{\chi \varphi}^u}$$

for  $u \in \mathcal{R}$ . This induces an action on the rows of  $Q_*$  with the following properties:

- (i)  $\overline{D}$  acts semiregularly on  $\text{Irr}_0(B)$ . In particular,  $k_0(B) \equiv 0 \pmod{|\overline{D}|}$ . The action is regular if and only if  $B$  is nilpotent.
- (ii)  $\overline{Z(\overline{D})}$  acts semiregularly on  $\text{Irr}_i(B)$ . In particular,  $k_i(B) \equiv 0 \pmod{|\overline{Z(\overline{D})}|}$  and  $C(B) \equiv 0 \pmod{|\overline{Z(\overline{D})}|}$  for  $i \geq 0$ .
- (iii)  $\mathcal{G}$  acts on the set of  $\overline{D}$ -orbits of  $\text{Irr}(B)$ .
- (iv) The number of  $\overline{D}$ -orbits is

$$\boxed{|\text{Irr}(B)/\overline{D}| = \sum_{u \in \mathcal{R} \cap \text{foc}(B)} l(b_u)}.$$

*Sketch of proof.* Once  $d_{\lambda * \chi, \varphi}^u = \lambda(u) d_{\chi \varphi}^u$  is proven, the claims about semiregularity follow from Proposition 2.10. A result by Kessar-Linckelmann-Navarro provides the characterization of nilpotent blocks. Part (iii) is easy, since  $\mathcal{G}$  acts naturally on  $\text{Irr}(\overline{D})$ . The last claim is explained in Remark 2.17 below.  $\square$

In general  $\overline{D}$  does not act on the columns of  $Q_*$ .

**Remark 2.17.** Now we combine the actions of  $\mathcal{G}$  and  $\overline{D}$ . Let  $\widehat{\mathcal{G}} := \overline{D} \rtimes \mathcal{G}$ , and let  $\mathcal{S}$  be a set of representatives for the  $\widehat{\mathcal{G}}$ -orbits of  $\text{Irr}(B)$ . For  $x \in \mathbb{Q}_{|G|_p}$  let

$$\text{tr}(x) := \frac{1}{|\mathcal{G}|} \sum_{\gamma \in \mathcal{G}} \gamma(x) \in \mathbb{Q}.$$

Define

$$\widehat{Q}_u := (|\widehat{\mathcal{G}} : \widehat{\mathcal{G}}_\chi| \text{tr}(d_{\chi \varphi}^u) : \chi \in \mathcal{S}, \varphi \in \text{IBr}(b_u))$$

for  $u \in \mathcal{R}'$ . Since  $Q$  is constant on the  $\widehat{\mathcal{G}}$ -orbits, we can recover  $Q$  from  $\widehat{Q} := (d_{\chi \varphi} : \chi \in \mathcal{S}, \varphi \in \text{IBr}(B))$ . The columns of  $\widehat{Q}$  form a basis of the  $\mathbb{Z}$ -module

$$\{v \in \mathbb{Z}^{|\mathcal{S}|} : \widehat{Q}_u^T v = 0 \ \forall u \in \mathcal{R}' \cap \text{foc}(B)\}.$$

**Example 2.18** (Robinson). If  $p \geq 5$ ,  $D \neq 1$  and  $I(B) = 1$ , then  $\overline{D} \neq 1$ .

Note that  $B$  is nilpotent if and only if  $\text{hnp}(B) = 1$ .

**Theorem 2.19** (Watanabe). *If  $\text{hnp}(B)$  is cyclic, then  $l(B) = |I(B)|$  and  $k(B) = k(B_D) = k(D \rtimes I(B))$ .*

Let

$$\mathbb{Z}(\mathcal{F}) := \{x \in D : x^f = x \text{ for every morphism } f \text{ in } \mathcal{F}\} \leq D.$$

**Proposition 2.20.** *For  $u \in \mathbb{Z}(\mathcal{F})$  we have  $k(B) \geq k(b_u)$  and  $l(B) \geq l(b_u)$ . If (in addition)  $D$  is abelian, then equality holds and  $\mathbb{Z}(B) \cong \mathbb{Z}(b_u)$ .*

It is conjectured that  $B$  and  $b_u$  are Morita equivalent whenever  $u \in \mathbb{Z}(\mathcal{F})$ . This can be regarded as a  $Z^*$ -Theorem for blocks.

## 2.6 Quadratic forms

By construction, the Cartan matrix  $C(b_u)$  ( $u \in \mathcal{R}$ ) is symmetric and positive definite. Hence, it gives rise to an integral quadratic form

$$q_u : \mathbb{Z}^{l(b_u)} \rightarrow \mathbb{Z}, \quad x \mapsto xC(b_u)x^T.$$

If we change the basic set of  $b_u$ ,  $C(b_u)$  becomes  $SC(b_u)S^T$  for some  $S \in \text{GL}(l(b_u), \mathbb{Z})$ . This yields an *equivalent* quadratic form.

**Theorem 2.21** (Reduction of quadratic form). *There are only finitely many equivalence classes of integral, positive definite quadratic forms with given dimension and determinant (discriminant).*

**Theorem 2.22.** *There are only finitely many isotypy classes of  $p$ -blocks with a given defect.*

*Sketch of proof.* For a  $p$ -block  $B$  with a given defect there are only finitely many possible defect groups  $D$ . Let  $u \in \mathcal{R}$ . By Brauer-Feit,  $l(b_u) \leq k(B) \leq p^{2d(B)}$ . Moreover, the elementary divisors of  $C(b_u)$  divide  $p^{d(b_u)} \leq p^{d(B)}$ . In particular,  $\det(C(b_u)) \leq p^{d(B)l(b_u)}$ . Hence, by Theorem 2.21 there are only finitely many possibilities for  $C(b_u)$  up to basic sets. If a basic set for  $b_u$  is fixed, then there are only finitely many choices for  $Q_u$ . Since  $|\mathcal{R}| \leq p^{d(B)}$ , there are only finitely many possibilities for  $Q_*$  up to basic sets. This allows only finitely many perfect isometry classes. The refinement to isotypy classes can be achieved inductively.  $\square$

**Corollary 2.23.** *There are only finitely many isomorphism types of centers of  $p$ -blocks with given defect.*

This corollary can be shown more directly by observing that  $Z(B)$  has an  $F$ -basis of the form

$$L_1^+ 1_B, \dots, L_k^+ 1_B$$

where  $L_1^+, \dots, L_k^+$  are class sums of  $G$ . Then the structure constants lie in  $\mathbb{F}_p$  and there are only finitely many multiplication tables.

If  $l(B)$  is small, one can determine a set of representatives for  $C = C(B)$  up to basic sets (reductions by Gauß, Minkowski, Hermite, ...).

**Example 2.24.** If  $l(B) = 2$ , then there exists a basic set for  $B$  such that

$$C = \frac{\det(C)}{p^{d(B)}} \begin{pmatrix} \alpha & \beta \\ \beta & \gamma \end{pmatrix}$$

with  $0 \leq 2\beta \leq \alpha \leq \gamma$ . It follows that

$$\frac{3}{4}\alpha^2 \leq \alpha\gamma - \beta^2 = \frac{p^{2d(B)}}{\det(C)} \leq p^{d(B)}.$$

It is conjectured that  $\beta > 0$  (or more generally that  $q_u$  is *indecomposable*).

If  $l(B)$  is large, heuristics can be used to make the entries of  $C$  “small” (LLL algorithm).

We are also interested in the *dual* quadratic form

$$q_u^* : \mathbb{Z}^{l(b_u)} \rightarrow \mathbb{Z}, \quad x \mapsto p^{d(b_u)} x C(b_u)^{-1} x^T.$$

Let  $\min q_u^* := \min\{q_u^*(x) : x \in \mathbb{Z}^{l(b_u)} \setminus \{0\}\} > 0$ .



**Proposition 2.25** (Brauer). *If  $u \in Z(D)$ , then  $k(B) \min q_u^* \leq l(b_u)p^{d(B)}$ .*

*Sketch of proof.* By construction,  $M_u^2 = M_u$ . It follows that the eigenvalues of  $M_u$  are 0 and 1. Therefore,

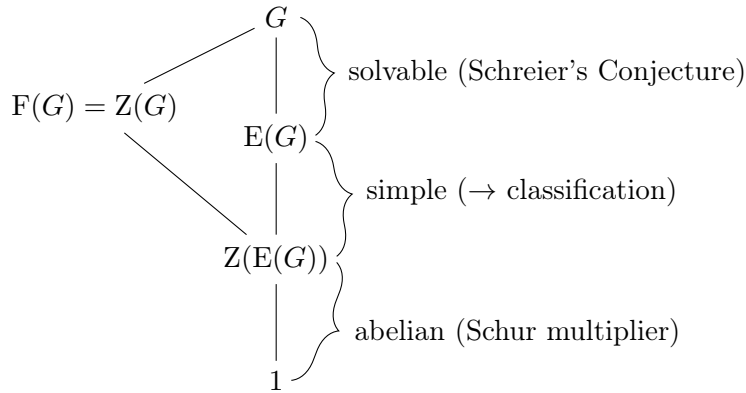
$$p^{d(B)}l(b_u) = p^{d(b_u)} \operatorname{rk} M_u = \operatorname{tr}(p^{d(b_u)} M_u) = \sum_{\chi \in \operatorname{Irr}(B)} q_u^*(d_\chi^u) \geq k(B) \min q_u^*. \quad \square$$

Quadratic forms with small minimum have been studied. For example, if  $q_u$  is indecomposable, then  $\min q_u^* > 1$  or  $l(b_u) = 1$ . In general there are only finitely many vectors  $x \in \mathbb{Z}^{l(b_u)}$  with  $q_u^*(x) = \min q_u^*$ .

## 2.7 Reduction to quasisimple groups

The methods we have covered so far are in general not sufficient to determine e.g.  $k(B)$  from local data. In the following we present a rather different approach which often helps to overcome difficulties encountered otherwise.

- In order to determine the basic algebra of  $B$  we may change  $G$  in accordance with Fong's reductions. After the first reduction,  $B$  is *quasiprimitive*, i. e. for every  $N \trianglelefteq G$ ,  $B$  covers a unique block of  $N$ . By the second reduction, we may assume that  $O_{p'}(G)$  is cyclic and central in  $G$ . Both reductions preserve  $D$  and  $\mathcal{F}$ .
- The Külshammer-Puig Theorem describes the source algebra of a block covering a nilpotent block. This is often helpful to show that  $O_p(G) = 1$ . A recent result by Puig gives information in the opposite case where  $B$  is covered by a nilpotent block.
- By the previous steps, the *Fitting subgroup* is given by  $F(G) = Z(G) = O_{p'}(G)$ . As usual, the *layer*  $E(G)$  of  $G$  is a central product of *components*  $L_1, \dots, L_n$  of  $G$ . Moreover,  $B$  covers a unique block  $B_E = B_1 \otimes \dots \otimes B_n$  of  $E(G)$  with  $D(B_E) = D(B_1) \times \dots \times D(B_n) \leq D$ . Here,  $B_E$  is nilpotent if and only if all  $B_i$  are nilpotent.
- In favorable cases we may use the structure of  $D$  to prove that  $n = 1$ , i. e.  $E(G)$  is quasisimple and  $S := E(G)/Z(E(G))$  is simple. Moreover,  $Z(G) \leq C_G(E(G)) \leq C_G(F^*(G)) \leq F(G) = Z(G)$  and  $G/Z(G) \leq \operatorname{Aut}(E(G)) \leq \operatorname{Aut}(S)$ . Thus, we are in a position to apply the classification of the finite simple groups. Clifford theory can be used to minimize  $|G/E(G)|$ .



### 3 Example $D = D_8$

Let  $p = 2$  and

$$D = \langle x, y \mid x^4 = y^2 = 1, x^y = x^{-1} \rangle \cong D_8.$$

Since a non-trivial  $p'$ -automorphism of  $D$  must permute the maximal subgroups, we conclude that  $\text{Aut}(D)$  is a  $p$ -group. In particular,  $I(B) = 1$ . There are two candidates of essential subgroups:  $E_1 := \langle x^2, y \rangle$  and  $E_2 := \langle x^2, xy \rangle$ . This gives three possible fusion systems represented by the following groups:

- (i)  $D$  ( $B$  is nilpotent),
- (ii)  $S_4$  ( $E_1$  is essential),
- (iii)  $\text{GL}(3, 2)$  ( $E_1$  and  $E_2$  are essential).

Let us assume that the second case occurs for  $B$ . Then we may choose  $\mathcal{R} = \{1, x^2, xy, x\}$ . By Proposition 2.5, the blocks  $b_{x^2}$ ,  $b_{xy}$  and  $b_x$  are nilpotent and

$$k(B) - l(B) = l(b_{x^2}) + l(b_{xy}) + l(b_x) = 3.$$

Moreover,  $C(b_{x^2}) = (8)$  and  $C(b_{xy}) = C(b_x) = (4)$ . Since  $\mathcal{G}$  acts trivially on  $Q_*$ ,  $Q_*$  is integral. Note that  $p^{d(b_u)} m_{\chi\psi}^u = d_{\chi\varphi} d_{\psi\varphi}$  for  $u \in \mathcal{R} \setminus \{1\}$  and  $\text{IBr}(b_u) = \{\varphi\}$ . By the orthogonality and divisibility relations for  $xy$ , we see that  $k_0(B) = 4$ . Similarly, if we consider  $x^2$ , we get

$$k(B) = k_0(B) + k_1(B) = 4 + 1 = 5, \quad l(B) = 2.$$

Moreover,  $\text{foc}(B) = E_1$  and  $\overline{D} = D/E_1$  has two orbits of length 2 on  $\text{Irr}_0(B)$ . It follows that  $\widehat{Q}_{x^2} = 2(\epsilon_1, \epsilon_2, \epsilon_3)^T$  where  $\epsilon_1, \epsilon_2, \epsilon_3 \in \{\pm 1\}$  (see Remark 2.17). Hence, there exists a basic set for  $B$  such that

$$\widehat{Q} = \begin{pmatrix} \epsilon_1 & \cdot \\ \cdot & \epsilon_2 \\ -\epsilon_3 & -\epsilon_3 \end{pmatrix}.$$

We obtain

$$Q_* = \begin{pmatrix} \epsilon_1 & \cdot & \epsilon_1 & \epsilon_1 & \epsilon_1 \\ \epsilon_1 & \cdot & \epsilon_1 & -\epsilon_1 & -\epsilon_1 \\ \cdot & \epsilon_2 & \epsilon_2 & \epsilon_2 & -\epsilon_2 \\ \cdot & \epsilon_2 & \epsilon_2 & -\epsilon_2 & \epsilon_2 \\ -\epsilon_3 & -\epsilon_3 & 2\epsilon_3 & \cdot & \cdot \end{pmatrix}, \quad C(B) = \begin{pmatrix} 3 & 1 \\ 1 & 3 \end{pmatrix}$$

up to basic sets. Note that the quadratic form  $q_1$  is already reduced by Example 2.24. It is not hard to show that the isotypy class of  $B$  is uniquely determined. It is known further that  $B$  is Morita equivalent either to the principal block of  $S_4$  or to the principal block of  $S_5$  (recall from Theorem 1.4 that  $B$  is tame). Both blocks are Rickard equivalent (confirming a conjecture of Rouquier in this case).